

Research Article

A Simple Quantum Mechanics Way to Simulate Nanoparticles and Nanosystems without Calculation of Wave Functions

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It is shown that the variation principle can be used as a practical way to find the electron density and the total energy in the frame of the density functional theory (DFT) without solving of the Kohn-Sham equation. On examples of diatomic systems Si₂, Al₂, and N₂, the equilibrium interatomic distances and binding energies have been calculated in good comparison with published data. The method can be improved to simulate nanoparticles containing thousands and millions atoms.

1. Introduction

It is well known [1] that the electron ground state energy E^{el} of a quantum system may be found by minimization of the energy functional which depends on the total electron density ρ as follows:

$$E^{\text{el}}[\rho] = E^t[\rho] + E^{\text{ex}}[\rho] + E^{\text{cor}}[\rho] + E^{\text{H}}[\rho] - \int V(\vec{r})\rho(\vec{r})d^3\vec{r}, \quad (1)$$

where V , E^t , E^{ex} , E^{cor} , and E^{H} are an external potential, the kinetic, exchange, correlation, and Hartree energies, correspondingly, and E^{H} is given as follows:

$$E^{\text{H}}[\rho] = \frac{1}{2} \int \frac{\rho(\vec{r})\rho(\vec{r}')}{|\vec{r} - \vec{r}'|} d^3\vec{r}d^3\vec{r}'. \quad (2)$$

The first attempt to study the quantum system without wave functions was made by Thomas and Fermi [2, 3]. They considered the interrelation of the electron density, the electronic kinetic energy, and the electrostatic potential of an isolated atom and have found a simple one-dimensional equation for the potential. Then their idea has been developed by a number of authors [4–6], however still nowadays

this theory is used only for single-atomic and other radial symmetric systems, or for jellium-approached ones [7–9]. Our work demonstrates a possibility to calculate the total energy and the electron density for neutral many-atomic systems directly from the minimization of the energy functional equation (1).

2. Results and Discussion

The variation principle together with the constant number of electrons N gives

$$\frac{\delta E[\rho]}{\delta \rho} = \mu \quad \text{with} \quad \int \rho(\vec{r})d^3\vec{r} = N. \quad (3)$$

Thus we have the equation for finding the equilibrium $\rho(\vec{r})$

$$F[\rho] \equiv \frac{\delta E[\rho]}{\delta \rho} = -V(\vec{r}) + \varphi(\vec{r}) + \mu_t(\rho) + \mu_{\text{ex}}(\rho) + \mu_{\text{cor}}(\rho) - \mu = 0, \quad (4)$$

where $\varphi(\vec{r}) = \int (\rho(\vec{r}')/|\vec{r} - \vec{r}'|)d^3\vec{r}'$, $\mu_t(\rho) = \delta T[\rho]/\delta \rho$, $\mu_{\text{ex}}(\rho) = \delta E^{\text{ex}}[\rho]/\delta \rho$, and $\mu_{\text{cor}}(\rho) = \delta E^{\text{cor}}[\rho]/\delta \rho$.

Equation (4) may be solved using any iteration method if you can calculate all its components. For simplicity, we have limited our current consideration by the local density approximation (LDA), in which

$$\mu_t = \frac{1}{5}(3\pi^2\rho)^{2/3}, \quad \epsilon_t = \frac{3}{10}(3\pi^2)^{2/3}\rho^{5/3}, \quad (5)$$

$$\mu_{ex} = -\left(\frac{3\rho}{\pi}\right)^{1/3}, \quad \epsilon_{ex} = -\frac{3}{4\pi}(3\pi^2)^{1/3}\rho^{4/3}.$$

The correlation potential μ_{cor} and the correlation energy ϵ_{cor} were taken as it was proposed in works [10, 11]. Namely,

$$\epsilon_{cor} = \frac{\gamma}{(1 + \beta_1\sqrt{r_s} + \beta_2r_s)},$$

$$\mu_{cor} = \left(1 - \frac{r_s}{3} \frac{d}{dr_s}\right) \epsilon_{cor} = \epsilon_{cor} \frac{1 + (7/6)\beta_1\sqrt{r_s} + (4/3)\beta_2r_s}{1 + \beta_1\sqrt{r_s} + \beta_2r_s}, \quad (6)$$

for $r_s = (4/3\pi\rho)^{-1/3} \geq 1$.

And

$$\epsilon_{cor} = A \ln r_s + B + Cr_s \ln r_s + Dr_s,$$

$$\mu_{cor} = A \ln r_s + \left(B - \frac{1}{3}A\right) + \frac{2}{3}Cr_s \ln r_s + \frac{1}{3}(2D - C)r_s, \quad (7)$$

for $r_s = (4/3\pi\rho)^{-1/3} < 1$.

Here $A = 0.0311$, $B = -0.048$, $C = 0.002$, $D = -0.0116$, $\gamma = -0.1423$, $\beta_1 = 1.0529$, and $\beta_2 = 0.3334$ (in a.e.).

The well-known Newton's iteration method was used to find $\rho(\vec{r})$ as a self-consistent solution for (4):

$$\rho_{i+1} = \rho_i - \frac{F[\rho_i]}{dF[\rho_i]/d\rho_i}. \quad (8)$$

The main problem is to calculate the Coulomb potential $\varphi(\vec{r})$ for a many-atomic system, and it seems that this is a main reason why there was a little progress on this way. However, at the last years, the efficient methods for calculation of Coulomb integrals were developed. Namely, we used the supercell-cut-off technique [12, 13] and the fast Fourier transformation code [14].

The second problem is to operate with all-electrons atomic potentials which have strong singularities leading to very sharp density peaks and, as a result, to impossibility to calculate the Coulomb potential correctly through the Fourier expansion with limited number of plane waves. Therefore, we worked within the frame of a pseudopotential version of the DFT theory, constructing pseudopotentials using FHI98PP code [15] and taking into account only valence electrons. For simplicity we have considered only diatomic systems (Si_2 , Al_2 , N_2), thus the total external potential $V(\vec{r})$ was calculated as a sum of pseudopotentials $V_p(\vec{r} - \vec{R}_1)$ and $V_p(\vec{r} - \vec{R}_2)$ centered on the positions of two atoms, \vec{R}_1 and \vec{R}_2 . The sum of pseudoatomic densities, $\rho_p(\vec{r} - \vec{R}_1) + \rho_p(\vec{r} - \vec{R}_2)$, was used as a start density for the Newton's iteration procedure. To find the equilibrium interatomic

TABLE 1: The equilibrium distances and binding energies for Si_2 , Al_2 , and N_2 . The published data is shown in brackets.

	Si_2	Al_2	N_2
d_0 , Å	2.2 (2.27 ^a)	2.6 (2.51 ^b)	1.1 (1.098 ^c)
E_b , eV	3.3 (3.07 ^a)	1.4 (1.55 ^b)	7.3 (9.42 ^d)

^a Calculated [16]; ^b calculated [17]; ^c experimental [18]; ^d experimental [19].

distance (d_0) we considered the total energy E^{tot} as a sum of the electron energy, E^{el} , and the energy of the "ion-ion" repulsion, E^{rep} , that is, the interaction energy of positive-charged point nucleus

$$E^{\text{rep}} = \frac{Z_v^2}{|\vec{R}_1 - \vec{R}_2|}, \quad (9)$$

where Z_v is the number of valence electrons: $Z_v(\text{Si}) = 4$, $Z_v(\text{Al}) = 3$, and $Z_v(\text{N}) = 5$.

With the self-consistent $\rho(\vec{r})$, obtained from (4), we calculated the total energy E^{tot} as a function of the interatomic distance $d = |\vec{R}_1 - \vec{R}_2|$, $E^{\text{tot}} = E^{\text{el}} + E^{\text{rep}}$.

Values of the equilibrium distances d_0 and binding energies E_b for studied species are listed in Table 1 comparing with published data.

3. Summary

Our results show that the calculated equilibrium binding energies and distances are close to published data; thus we can be sure that this approach may be used for modeling of huge particles, probably up to million atoms.

Our consideration is limited by the spin-restricted case; however, we believe that the spin polarization can be included as well as the general gradient approximation.

The main advantage of the developed method consists in the independence of the calculation time from the number of electrons; it depends linearly on the volume of the system, or in other words on the number of atoms. Certainly, it does not give us electron states, but they can be easily calculated through the usual Kohn-Sham technique if we know the electron density. We also believe that the proposed approach can be successfully improved in future for all-electrons atoms.

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